

ERROR ACCOUNT POLICY(CODE MODIFICATION):

We intend to adhere to the new SEBI directive on 'UCC changes and its implications'. The following steps taken in that regard are:

- 1. All terminal IDs of BSE & NSE will remain locked for any UCC change.
- 2. We will not allow any back-office Client modification.
- 3. We have restricted the Client Code modification only from the Head Office. If any, modification required by the dealers, they have to intimate the details to Head Office through a mail or over telephone.
- 4. For unforeseen genuine errors during trading session- the particular ID would be activated for modification only for few minutes through ADMIN terminal. In case of BSE, the reason for change would be submitted at the end of the day through BEFS site provided by exchange.
- 5. After due verification of Risk Management Team, and genuinety of the modification, they will modify the trades during time allotted by the exchange by uploading the same to the exchange. We are not modifying any trades in our Back Office System without approval of the exchange.