

Policy for unauthentic new circulation:

As per the code of conduct for Stock Broker in SEBI (Stock Brokers and Sub-brokers) Regulations, 1992 and SEBI circular Cir/ISD/1/2011, dated 23rd March, 2011 and Cir/ISD/2/2011 dated 24th March, 2011, all SEBI registered market intermediaries are required to have proper internal code of conduct to govern the conduct of its Employees. In view of same, BALAJI EQUITIES LTD implements code of conduct for communicating through various modes of communication. Employees are prohibited from:

- 1. Circulation of unauthenticated news related to various scrips in blogs/chat forums/e-mail etc.
- 2. Encouraging or circulating rumors or unverified information obtained from client, industry, any trade or any other sources without verification.
- 3. Either forwarding any market related news received in their official mail/personal mail/blog or in any other manner except after the same has been seen and approved by the Compliance Officer.

Our employees are restricted from circulation of rumors or unverified information obtained from client, industry, any trade or other sources without verification.